


## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	PROCESS FOR PRODUCTION OF ESSENTIALLY SOLVENT-FREE SMALL PARTICLES	
Application Number: Confirmation Number: First Named Applicant: Attorney Docket Number: Art Unit: Search string:	10/696384 8266 Mahesh Chaubal IFT-5657A1A1C6 1615 ( 2745785 or 4056635 or 4073943 or 4452817 or 4540602 or 4606940 or 4608278 or 4622219 or 4725442 or 4798846 or 4826689 or 4973465 or 4997454 or 5023271 or 5049322 or 5078994 or 5091187 or 5091188 or 5100591 or 5118528 or 5133908 or 5122543 or 5145684 or 5151264 or 5152923 or 5174930 or 5188837 or 5246707 or 5250236 or 5269979 or 5298262 or 5302401 or 5314506 or 5318767 or 5326552 or 5336507 or 5340564 or 5346702 or 5352459 or 5354563 or 5389263 or 5399363 or 5417956 or 5429824 or 5447710 or 5466646 or 5470583 or 5474989 or 5494683 or 5510118 or 5518187 or 5518738 or 5534270 or 5543133 or 5552160 or 5560933 or 5560932 or 5565383 or 5569448 or 5573783 or 5578325 or 5580579 or 5587143 or 5591456 or 5605785 or 5626864 or 5635609 or 5641515 or 5641745 or 5660858 or 5662883 or 5662932 or 5665331 or 5665383 or 5707634 or 5716642 or 5720551 or 5766635 or 5780062 or 5833891 or 5849884 or 5858410 or 5862999 or 5874111 or 5916583 or 5916596 or 5922355 or 5939100 or 5981719 or 5989583 or 6007845 or 6039981 or 6045826 or 6045829 or 6048550 or 6063910 or 6068858 or 6086376 or 6090925 or 6090983 or 6132750 or 6139870 or 6143211 or 6146663 or 6153225 or 6165506 or 6177103 or 6197757 or 6207134 or 6214384 or 6217886 or 6221322 or 6221398 or 6221400 or 6228399 or 6231890 or 6235224 or 6238677 or 6238694 or 6245349 or 6248363 or 6264922 or 6267989 or 6268053 or 6270806 or 6294204 or 6299906 or 6306406 or 6337092 or 6344271 or 6346533 or 6365191	

or 6375986 or 6395300 or 6428814 or 6462093  
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20010025058 or 20010042932 or 20020012675  
or 20020012704 or 20020041896 or  
20020054912 or 20020110599 or 20020127278  
or 20020168402 or 20030003155 or  
20030031719 or 20030044433 or 20030059472  
or 20030072807 or 20030077329 or  
20030096013 or 20030100568 or  
20030206959 ).pn.

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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	25	5152923	1992-10-06	Weder et al.
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	129	6337092	2002-01-08	Khan et al.
	130	6344271	2002-02-05	Yadav et al.
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<input type="checkbox"/>	137	6607784	2003-08-19	Kipp et al.
<input checked="" type="checkbox"/>	138	RE35338	1996-09-24	Haynes

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

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<input checked="" type="checkbox"/>	1	20010007678	2001-07-12	Baert et al.			
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<input type="checkbox"/>	9	20020127278	2002-09-12	Kipp et al.			
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<input type="checkbox"/>	11	20030003155	2003-01-02	Kipp et al.			
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<input checked="" type="checkbox"/>	19	20030206959	2003-11-06	Kipp et al.	A9		

Signature

Examiner Name	Date
<i>Simon Or</i>	3 / 17 / 08

<b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

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[illegible]

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Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
SD	EP 0169 618 B2	10 Nov 1993	EP			X	
	EP 0207 134 B1	17 Aug 1994	EP			X	
	EP 0275 796 B2	6 Sept 1995	EP				X
	EP 0349 428 B1	20 Jan 1993	EP			X	
	EP 0372 070 B1	15 Jan 1997	EP			X	
	EP 0377 477 B1	24 Mar 1993	EP			X	
	EP 0379 379 B1	18 Jan 1990	EP			X	
	EP 0498 482 B1	8 Sept 1999	EP			X	
	EP 0499 299 B1	16 Aug 2000	EP			X	
	EP 0517 565 B1	18 Oct 2000	EP			X	
	EP 0535 534 A1	7 Apr 1993	EP			X	
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	EP 0600 532 A2 EP 0600 532 A3	8 June 1994	EP			X	
	EP 0601 618 A2 EP 0601 618 A3	15 June 1994	EP			X	
	EP 0601 619 A2 EP 0601 619 A3	15 June 1994	EP			X	
V	EP 0602 700 A2 EP 0602 700 A3	22 June 1994	EP			X	
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Examiner: <i>Sam Chen</i>	Date Considered: <i>3/17/08</i>
<p>*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
S		EP 0605 024 A2	6 July 1994	EP			X	
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		EP 0644 755 B1	19 Mar 1997	EP			X	
		EP 0720 471 B1	18 Apr 2001	EP			X	
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		EP 0752 245 B1	22 May 2002	EP			X	
		EP 0754 034 B1	22 Jan 1997	EP			X	
		EP 0788 350 B1	27 Feb 2002	EP			X	
		EP 0804 162 B1	5 Sept 2001	EP			X	
		EP 0808 154 B1	20 Dec 2000	EP			X	
		EP 0812 187 B1	2 May 2003	EP			X	
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		WO 90/03782 A2	19 Apr 1990	PCT			X	
		WO 90/15593 A1	27 Dec 1990	PCT			X	
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Examiner: <i>Suman Ch</i>	Date Considered: 3/17/06
*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

FOREIGN PATENT DOCUMENTS								
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		WO 96/20698 A2	11 July 1996	PCT			X	
		WO 96/24336 A1	15 Aug 1996	PCT			X	
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Examiner: <i>Simon Chen</i>	Date Considered: <i>3/17/06</i>
*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

FOREIGN PATENT DOCUMENTS								
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
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		WO 00/18374 A1	6 Apr 2000	PCT			X	
		WO 00/27363 A1	18 May 2000	PCT			X	
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Examiner: <i>Simon Chen</i>	Date Considered: <i>3/17/06</i>
*Examiner: Initial if citation considered whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (use several sheets if necessary)  PTO Form 1449	Atty Docket No. IFT-5657A1A1C6	Application No. 10/696,384
	Applicant Chaubal et al.	
	Filing Date Oct. 29, 2003	Group 1615

Examiner's Initials	OTHER DOCUMENTS (Including Author Title Date Pertinent Pages Etc.)
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Examiner: <i>Simon On</i>	Date Considered: <i>3/17/08</i>
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Sheet	1	of	1
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**Complete if Known**

Application Number	10/696,384
Filing Date	10/29/03
First Named Inventor	Chaubal
Art Unit	1615
Examiner Name	Unknown
Attorney Docket Number	IET-5657A-1A1C6

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>o</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
SP ↓		FR 2817478	06/07/02	L'Oreal		
		FR 2817478-Abstrct in Eng	06/07/02	L'Oreal		
		WO 91/07170	05/30/91	Schering Aktiengesellscha		
		ISR / Written Opinion				
		PCT/US2004/035335	10/06/05	Baxter International Inc.		

Examiner Signature	<i>Simon Chen</i>	Date Considered	3/17/08
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Sheet

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of

14

**Complete if Known**

Application Number	10/696 384
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10/696 384

Filing Date	October 29, 2003
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**October 29, 2003**

First Named Inventor	Mahesh Chaubal
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**Mahesh Chaubal**

Art Unit	1615
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1615

Examiner Name	Simon Oh
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Simon Oh

Attorney Docket Number	FT-5657A-1A1C6 (3360-0003 02)
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FT-5657A-1A1C6 (3360-0003.02)

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Appear, etc.	Tr <sup>5</sup>
		Country Code* *Number* *Kind Code <sup>5</sup> (if known)				
SP	18	EP 0275 796 B2	07-27-1988	Centre Nat Rech Scient		
	19	EP 0498 482 B1	08-12-1992	Sterling Winthrop Inc		
	20	EP 0517 565 B1	12-09-1992	Fidia Sfa		
	21	JP H2-306902	12-20-1990	Harmari Sangyo		
	22	Patent Abst of JP H2-306902	12-20-1990	Harmari Sangyo		
	23	WO 92/17214 A2	10-12-1992	Ranney		
	24	WO 94/07999 A1	04-14-1994	Univ Brown Res Found		
	25	WO 95/33488 A1	12-14-1995	Quadrant Holdings Cambridge Ltd		
	26	WO 97/03651 A1	02-06-1997	Danbiosyst UK		
	27	WO 98/01162 A2	01-15-1998	Univ Johns Hopkins		
	28	WO 98/14170 A1	04-09-1998	Sloan Kettering Inst		
	29	WO 98/24450 A1	06-11-1998	Nilsson		
	30	WO 99/00113 A1	01-07-1999	Vivorx Pharmaceuticals Inc		
	31	WO 99/39700 A1	08-12-1999	Vectorpharma SPA		
	32	WO 99/59550 A1	11-25-1999	Liposome Co.		
	33	WO 00/06152 A1	02-10-2000	Novopharm Biotech Inc		
	34	WO 01/21154 A2	03-29-2001	RTP Pharma Inc		
	35	WO 02/82074 A1	10-17-2002	Chiron Corp		
	36	WO 02/80678 A1	10-17-2002	Schering Corp		
	37	WO 03/45330 A2 & A3	06-05-2003	Onyuksel et al.		
	38	WO 03/45660 A1	06-05-2003	BTG Int Ltd		
	39	WO 04/103348 A3 & A3	12-02-2004	Baxter International		

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**Complete if Known**

Application Number	10/696,384
Filing Date	October 29, 2003
First Named Inventor	Mahesh Chaubal
Art Unit	1615
Examiner Name	Simon Oh
Attorney Docket Number	IFT-5657A-1A1C6 (3360-0003.02)

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**NON PATENT LITERATURE DOCUMENTS**

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So	40	ALLEN, T.M. et al., "Large unilamellar liposomes with low uptake into the reticuloendothelial system", Elsevier Science Publishers B.V., Vol 223, No. 1, pp. 42-46, October, 1987.	
	41	ALLEN, T.M. et al., "Effects on the murine mononuclear phagocyte system of chronic administration of liposomes containing cytotoxic drug or lipid A compared with empty liposomes", Vol 65, pp. 185-190, 1987.	
	42	AQUARO, et al. Antiviral Research 55, pp. 209-225, 2002.	
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	47	KINMAN et al., Journal of Acquired Immune Deficiency Syndrome, 34(4), pp. 387-397, December 1, 2003.	
	48	LIMOGES et al., poster presentation, 11th Conference on Retroviruses and Opportunistic Infections, February 8-11, 2004.	
✓	49	MOGHIMI et al., Pharmacological Reviews, 53, pp. 283-318, 2001.	

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Application Number	10/696,384
Filing Date	October 29, 2003
First Named Inventor	Mahesh Chaubal
Art Unit	1615
Examiner Name	Simon Oh
Attorney Docket Number	FT-5657A-1A1C6 (3360-0003.02)

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S	50	NESBIT et al., Clinical and Diagnostic Laboratory Immunology, 9(3), pp. 515-524, May 2002.	
	51	NOTTET et al., The Neurology of AIDS, p. 55, 1998.	
	52	PERNO et al., The Journal of Infectious Diseases, 178, pp. 413-422, 1998.	
	53	SAWCHUK et al., Advanced Drug Delivery Reviews, 39, pp. 5-31, 1999.	
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	55	SHRAYER, D. et al., "Ceramide, a mediator of apoptosis, synergizes with paclitaxel to induce regression of the L3.6 human pancreatic carcinoma implanted in SCID mice", Journal of Clinical Oncology, Vol 22, No 14S (July 15 Supplement), 2004: 2135.	
	56	SOLAS et al., Antimicrobiology Agents and Chemotherapy, 47 (1), pp. 238-243, January 2003.	
✓	57	VON BRIESEN, H., "Controlled Release of Antiretroviral Drugs", AIDS Rev., 2, pp. 31-38, 2000.	

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